

## Your Search For A Financial Advisor

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1. What is your full name and last four of your social security number? [www.nasd.com](http://www.nasd.com)
2. How often do you expect we'll see each other face-to-face?
3. How often do you expect we'll speak with each other?
4. How quickly do you respond to a phone call that you missed?
5. How does my CPA fit into all of this?
6. What is your account review like? May I have a copy of your typical Account Review Agenda?
7. How often do you expect you'll come to my home or office?
8. May I have a list of references?
9. Are you a Certified Financial Planner?
10. How many people directly support you or are on your staff?
11. What type of investments do you use most often for your clients?
12. How are you compensated?
13. If your office found out that I had a death in the family, what would you do?